

**NONPROVISIONAL PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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Attorney Docket No.: 112004

Date: February 20, 2002

**BOX PATENT APPLICATION**

Customer Number: 25944

**NONPROVISIONAL APPLICATION TRANSMITTAL  
RULE §1.53(b)**

Director of the U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. §1.53(b) is the nonprovisional patent application

For (Title): A METHOD FOR PRODUCING AN OPTICAL WAVEGUIDE SUBSTRATE AND AN  
OPTICAL WAVEGUIDE SUBSTRATE

By (Inventors): Shinji MAKIKAWA

- ☒ Formal drawings (Figs. 1-4; 2 sheets) are attached.  
☐ Use Figure \_\_\_\_\_ for front page of Publication.  
☒ A Declaration and Power of Attorney is filed herewith.  
☒ This patent application is assigned to SHIN-ETSU CHEMICAL CO., LTD.  
☒ The executed Assignment is filed herewith.  
☐ An Information Disclosure Statement is filed herewith.  
☐ Entitlement to small entity status is hereby asserted.  
☐ A Preliminary Amendment is filed herewith.  
☐ Please amend the specification by inserting before the first line the sentence --This nonprovisional application claims the benefit of U.S. Provisional Application No. \_\_\_\_\_, filed \_\_\_\_\_.--  
☒ Priority of foreign application No. 2001-50996 filed February 26, 2001 in JAPAN is claimed (35 U.S.C. §119).  
☐ A certified copy of the above corresponding foreign application(s) is filed herewith.  
☐ This application is NOT to be published under 35 U.S.C. 112(b). The undersigned attorney or agent hereby certifies that the invention disclosed in this application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.  
☒ The filing fee is calculated below:

**CLAIMS IN THE APPLICATION AFTER ENTRY OF  
ANY PRELIMINARY AMENDMENT NOTED ABOVE**

FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	9 - 20	= 0
INDEP CLAIMS	2 - 3	= 0
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS PRESENTED		

\* If the difference is less than zero, enter "0".

- ☒ Check No. 127969 in the amount of \$740.00 to cover the filing fee is attached. Except as otherwise noted herein, the Director is hereby authorized to charge any other fees that may be required to complete this filing, or to credit any overpayment, to Deposit Account No. 15-0461. Two duplicate copies of this sheet are attached.

**SMALL ENTITY**

RATE	FEE
	\$ 370
x 9 =	\$
x 42 =	\$
+ 140 =	\$
TOTAL	\$

**OTHER THAN A  
SMALL ENTITY**

RATE	FEE
	\$ 740
x 18	\$
x 84	\$
+ 280	\$
TOTAL	\$ 740

Respectfully submitted,

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